



UNIVERSAL PRIME ALUMINIUM LIMITED

(FORMERLY : UNIVERSAL CANS AND CONTAINERS LTD.)

Office : CENTURY BHAVAN, 771, Dr. ANNIE BESANT ROAD, WORLI, MUMBAI - 400 030.

Phone : 022 - 24307437 / 24304198 ★ Fax : 022 - 2437 0434

E mail : upalbby@gmail.com

CIN NO. : L28129MH1971PLC015207

14th October, 2020

To
Corporate Relationship Department,
BSE Limited
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai - 400 001

Security Code: 504673

Dear Sir/Madam,

Subject: Certificate pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015:

With reference to the subject captioned above, please find enclosed herewith the Certificate pursuant to Regulation 40 (9) of the SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, issued by CS Sweety Kapoor, Practicing Company Secretary for the half year ended 30th September, 2020.

Kindly take the same on record and oblige.

Thanking You,

Regards
For Universal Prime Aluminium Limited

PRIYANKA MOTWANI
Company Secretary & Compliance Officer

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to M/s. Universal Prime Aluminium Limited ("the Company") maintained by M/s. Maheshwari Datamatics Pvt. Ltd ("RTA") pertaining to transfer of Equity Shares and issue of Share Certificates of the Company for the period from 1st April, 2020 to 30th September, 2020 for the purpose of issuing this Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company/ RTA, I hereby certify that during the half year ended on 30th September, 2020:

A) This clause relating to issue and delivery of share certificates relating to the share transfer deeds received during the period from 1st April, 2020 to 30th September, 2020 as entered in the memorandum of transfers within fifteen days from respective date of lodgement of each deed excepting those rejected on technical grounds, is **NOT APPLICABLE** as SEBI has prohibited Physical transfer of shares in case of Listed Companies.

Further as informed to us Company and its RTA has not received any request for transfer/transmission of shares in physical mode during the period from 1st April, 2020 to 30th September, 2020.

and

B) The Company has issued all the certificates within 30 days for sub-division, consolidation, renewal, duplicate issue of share certificates, exchange or endorsement of calls/allotment monies, if any, during the period from 1st April, 2020 to 30th September, 2020, the same is **NOT APPLICABLE**, as there was NO request received for such instance during the half year ended 30th September, 2020.

Place: Kolkata
Date: 12-10-2020



Sweety Kapoor
(Sweety Kapoor)
Practising Company Secretary
FCS - 6410, COP - 5738
UDIN: F006410B000913261